

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John J. SAUK

Serial No.: 09/936,565

Filed: February 4, 2002

For: SURFACE LOCALIZED CO2 LIGHT FLASHES IN CARCINOMA CELLS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98**

Assistant Commissioner for Patents  
Washington, D. C. 20231

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Sir:

The subject disclosures A4 and A5 were cited in the International Search Report dated August 15, 2000. The PCT International Division of the U.S. Patent and Trademark Office has confirmed receipt of the Acceptance mailed March 27, 2002. Therefore, copies of those references are not supplied.

Applicants respectfully request the Examiner to confirm review of such documents by initialing and returning the attached PTO-1449.

**FEES**

No fee is required for this Information Disclosure Statement because:

This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;

This Information Disclosure Statement is being filed before the mailing of a first action on the merits;

This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(i).

A fee is required for this Information Disclosure Statement

Information Disclosure Statement  
Assistant Commissioner for Patents  
Washington, D.C. 20231-1500  
Name: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Date: 5/6/02

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notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in §1.17(p).

This Information Disclosure Statement is being filed after the mailing date of a final rejection or a notice of allowance under § 1.311 or an action that closes prosecution, but before the payment of the issue fee, and is accompanied by the fee set forth in § 1.17(p) and a certification below.

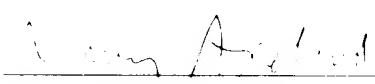
CERTIFICATION

- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, no such item was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

If a fee is due, attached is a check in the amount of \$ \_\_\_\_\_. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

  
Nancy J. Axelrod, Reg. No. 44,014  
Attorney/Agent for Applicants

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FILED: May 3, 2002

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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of 2

Application Number	09/936,565
Filing Date	February 4, 2002
First Named Inventor	John J. SAUK
Group Art Unit	1646
Examiner Name	TBA

Attorney Docket Number UNIMD-4

**U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is listed in the mark text. <sup>7</sup> English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 2

<i>Complete if Known</i>	
Application Number	09/936,565
Filing Date	February 5, 2002
First Named Inventor	John J. SAUK
Group Art Unit	1646
Examiner Name	TBA
Attorney Docket Number	UNIMD-4

#### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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